

12-18-01

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Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 125426-1067
First Inventor Brett A. Reed
Title Door Operator Control System and Method
Express Mail Label No. EK107356721US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 61]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. ☐ Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Substitute specification

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09, 567,215
Prior application information Examiner K. Dang Group Art Unit 2837

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name Kenneth R. Glaser
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1601 Elm Street, Suite 3000
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Country U.S. Telephone 214-999-3000 Fax 999-4667

Name (Print/Type) Michael E. Martin Registration No. (Attorney/Agent) 24,821
Signature Michael E. Martin Date 12/13/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO did not receive the following
listed item(s) 42 pgs of Specification

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FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision</small>		Complete if Known	
		Application Number	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	
		First Named Inventor	Brett A. Reed
TOTAL AMOUNT OF PAYMENT (\$)		Examiner Name	
		Group Art Unit	
740.00		Attorney Docket No.	125426-1067

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 07-0153 Deposit Account Name: Gardere Wynne Sewell		Large Entity Small Entity	
The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		Fee Code Fee Code Fee Description Fee Paid	
1. BASIC FILING FEE		105 130 205 65 Surcharge - late filing fee or oath	
Large Entity Small Entity		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Fee Code Fee Code Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 740 201 370 Utility filing fee		147 2,520 147 2,520 For filing a request for ex parte reexamination	
106 330 206 165 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 510 207 255 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 740 208 370 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 160 214 80 Provisional filing fee		116 400 216 200 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 920 217 460 Extension for reply within third month	
740		118 1,440 218 720 Extension for reply within fourth month	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		128 1,960 228 980 Extension for reply within fifth month	
Total Claims: 17		119 320 219 160 Notice of Appeal	
Independent Claims: 3		120 320 220 160 Filing a brief in support of an appeal	
Multiple Dependent: 0		121 280 221 140 Request for oral hearing	
Extra Claims Fee from below Fee Paid		138 1,510 138 1,510 Petition to institute a public use proceeding	
-20** = 0 X 18 = 0		140 110 240 55 Petition to revive - unavoidable	
-3** = 0 X 84 = 0		141 1,280 241 640 Petition to revive - unintentional	
Multiple Dependent: 0		142 1,280 242 640 Utility issue fee (or reissue)	
Large Entity Small Entity		143 460 243 230 Design issue fee	
Fee Code Fee Code Fee Description Fee Paid		144 620 244 310 Plant issue fee	
103 18 203 9 Claims in excess of 20		122 130 122 130 Petitions to the Commissioner	
102 84 202 42 Independent claims in excess of 3		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
104 280 204 140 Multiple dependent claim, if not paid		126 180 126 180 Submission of Information Disclosure Stmt	
109 84 209 42 ** Reissue independent claims over original patent		581 40 581 40 Recording each patent assignment per property (times number of properties)	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
SUBTOTAL (2) (\$)		149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
0		179 740 279 370 Request for Continued Examination (RCE)	
3. ADDITIONAL FEES		169 900 169 900 Request for expedited examination of a design application	
Large Entity Small Entity		Other fee (specify):	
Fee Code Fee Code Fee Description Fee Paid		SUBTOTAL (3) (\$)	
103 18 203 9 Claims in excess of 20		0	
102 84 202 42 Independent claims in excess of 3			
104 280 204 140 Multiple dependent claim, if not paid			
109 84 209 42 ** Reissue independent claims over original patent			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)			
0			
4. SUBTOTAL			
740			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Michael E. Martin	Registration No (Attorney/Agent)	24,821
Signature	<i>Michael E. Martin</i>	Telephone	214-999-4052
		Date	12/13/01

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